Chapter 15: Spread Spectrum Systems

- Direct-sequence spread spectrum
- Frequency hopping spread spectrum
- Coding
- Synchronization
- Wireless systems
- Ultra wideband systems

Direct-sequence spread spectrum

- Uses PN generator to spread the signal
- Output of PN generator can be a random sequence of $c(t) = \pm 1$ pulses
- To unauthorized listener, signal sounds like random noise ⇒ low probability of intercept
- Greater immunity to interference and jamming
 - Multipath
 - Multiple users
 - Jamming
- Usually uses synchronous/correlation detection

DSSS Transmitter



DSSS signal generation

- Message: x(t) \downarrow
- Spreading: $\tilde{x}(t) = c(t)x(t)$ \downarrow where $c(t) = \pm 1$
- BPSK or DSB modulation:

 $x_c(t) = \tilde{x}(t) \cos \omega_c t$

Spreading

With spreading, message becomes:

$$x(t) \rightarrow x(t)c(t) = \tilde{x}(t)$$

Transmission bandwidth becomes

$$B_T = W_c + W_x$$

DSSS Receiver With Single Tone Jammer Interfering Input



DSSS message recovery

- Received signal: $\tilde{x}(t)\cos\omega_c t + z(t)$
- Demodulation: $y(t) = \tilde{x}(t) + z_i(t)$ $\downarrow = x(t)c(t) + z_i(t)$
- Despreading:

$$\tilde{y}(t) = y(t)c(t) = x(t)c^{2}(t) + c(t)z_{i}(t)$$
$$= x(t) + \tilde{z}_{i}(t)$$

Despreading

Prior to the receiver's detector, we have

 $\tilde{x}(t) = c(t)x(t)$

At the receiver $\tilde{x}(t) \rightarrow c(t)\tilde{x}(t) \Rightarrow c^2(t)x(t) = x(t)$

since $c^2(t) = 1$

Note: To recover message we require $c^2(t) = 1$

How is the effects of the Jammer Minimized

- The receiver's PN generator despreads the message
- The receiver's PN generator spreads the single tone jammer over a wide bandwidth ⇒ jammer power is diluted
- Because the jammer signal is spread out, the LPF will remove most of its power but retain the message

Noise and Jamming

• DSSS has the same SNR and *P(error)* as DSB/BPSK systems

Thus,
$$(S/N)_D = \frac{S_R}{N_0 W_x}$$
 and $P_e = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$

- Jammer power is reduced so $J \to J/W_c \Rightarrow (S/J)_D = \frac{W_c S_R}{W_x J}$
- Jammer becomes another noise source $\Rightarrow N_J = J / W_c$

$$P_e = Q\left(\sqrt{\frac{2E_b}{N_J}}\right)$$

with white noise
$$P_e = Q \left(\sqrt{\frac{2L_b}{(N_0 + N_J)}} \right)$$

Multiple Access

• Multiple users and multipath are just another noise source

Thus, with *M* users

$$P_e = Q \left(\frac{1}{\sqrt{(M-1)/3Pg + N_0/2E_b}} \right)$$
where $Pg = \frac{W_c}{W_x}$

- Unauthorized listener hears just noise
- Unlike TDMA, FDMA, there is no cross talk

Frequency Hopping Spread Spectrum (FHSS)

- Hops at different frequencies
- Larger frequency spread than DSSS \Rightarrow larger Pg
- Slop hop: multiple symbols/hop
- Fast hop > multiple hops/symbol ⇒ can achieve frequency diversity for greater reliability

Frequency hopping spread spectrum

- PN generator causes carrier frequency to vary in a pseudo random fashion
- Slow-hop: 1 or more symbols per hop
- Fast-hop: 1 or more hops per symbol
- Usually uses noncoherent (e.g. envelope) detection

FH-SS Transmitter and Receiver



FHSS Spectrum for Slow Hop FH-SS: Output frequency vs input



Noise and Jamming

- FH-SS *P(error)* as FSK systems Thus, $P_e = \frac{1}{2}e^{-E_b/2N_0}$
- Jammer power is reduced so $J \rightarrow N_J = J / W_c$
- Partial band jamming = ΔW_c

$$P_{e} = \frac{1 - \Delta}{2} e^{-E_{b}/2N_{0}} + \frac{\Delta}{2} e^{-E_{b}/[2N_{0} + N_{J}/\Delta)]}$$

•
$$M$$
 - Users (a) $Y = 2^k$ frequencies

$$P_e = \frac{1}{2} \left(\frac{M-1}{Y} \right) + \frac{1}{2} e^{-E_b/2N_0} \left(1 - \frac{M-1}{Y} \right)$$

Types of jamming for FH-SS systems. (a) barrage (b) partial-band (c) single tone (d) multiple tone



Coding

Objective: Generate the PN Code

Coding Requirements

- High autocorrelation ⇒ receiver can identify intended source
- Low cross-correlation ⇒ receiver can reject other signals
- Multiplicity of unique codes for given shift register length

Single Shift Register with Feedback

- Good autocorrelation
- Poor cross-correlation
- Few codes for given register size

Shift register sequence generator with [5,2] configuration



Auto and cross-correlation of [3,1] and [3,2] PN Sequences.



Gold Codes

• Numerous codes from a given shift register configuration

codes = 2^n

• Desirable cross/auto-correlation properties

Gold Code generator



Synchronization

Synchronization goal

Received signal: $y(t) = \tilde{x}(t) = x(t)c(t)$ At demodulation: $\tilde{y}(t) = \tilde{x}(t)c(t-\tau)$ where $c(t-\tau)$ = receiver PN output

Goal: make $c(t - \tau) = c(t)$ so $c(t)c(t) = c^{2}(t) = 1$

Thus:
$$\tilde{y}(t) = \tilde{x}(t)c(t-\tau) = x(t)c^{2}(t) = x(t)$$

 \Rightarrow Message recovered!

Of course this assumes periodicity of c(t) = c(t + nT)

Synchronization process

- Acquisition: course alignment of the two PN codes to within a 1/2 chip
- Tracking: fine alignment

Misalignment

• Problem:
$$c_{transmitter}(t) \neq c_{receiver}(t)$$
 or
 $c_{transmitter}(t) = c_{receiver}(t-\tau)$

- Causes
 - distance
 - Doppler
 - movement of the transmitter and/or receiver

DS serial search acquisition \Rightarrow course alignment



Tracking \Rightarrow **fine alignment**



Wireless systems

• Cellular concept: divide geographical area into small cells



Original FDMA Cellular Concept

- In a given cell, the caller communicates to the tower via two frequencies- listen/talk (full duplex)
- When the call is made the tower assigns two unused frequencies.
- If the caller moves to a new cell, there is a handoff whereby, the user is reassigned 2 new frequencies, if these are available, otherwise the call is dropped.
- Each cell has a hard limit on number of frequencies available. However, there is re-use of frequencies at some distant cell.
- Re-use and number of available frequencies/cell are dictated by interference considerations.

2G and 2.5G concept

- There is still assignment of frequencies, but a given frequency channel, can have time or code slots
- Concept expanded to include TDMA or CDMA
- Data services include internet, text messaging included
- GSM, IS-54 \rightarrow IS-136 are TDMA
- IS-95 is CDMA
- 850 and 1900 MHz bands used
- More re-use as compared to original 1G standard
- Voice circuit switching, text packet switching

3G Concept

- Expanded data services
- Some voice is packet switched

Reducing multipath interference for DSSS using the Rake receiver

Channel model for Multipath



Multipath

• Problem:

because of obstacles, the transmitted signal may travel over several paths to the receiver

 \Rightarrow destructive interference or **fading**



Multipath model



If noise and other interferences, are neglected, we get

$$y(t) = \sum_{i=1}^{l} A_i x(t - t_i) c(t - t_i)$$

Rake receiver: a solution to multipath

- Incorporate multiple detectors that will
 - alter the phase/time delay and amplitude of each component
 - when added \Rightarrow achieve **constructive** interference
- Rake: structure of receiver looks like the tines on a garden rake
- Rake is **adaptive** adjustments will occur as conditions change

DSSS Rake Receiver to Overcome Multipath



Phase/gain adjustments

- Phases are adjusted so signals from various paths are added constructively.
- Amplitudes are adjusted to favor those signals with the maximum SNR

Rake receiver signals

The output of each of the 3-PN multipliers yields

$$\Rightarrow v_i(t) = A_i x(t - t_i) + \tilde{z}_i \qquad i = 0, 1, 2$$

After despreading, the output of the diversity combiner becomes

$$u(t) = \sum_{i=0}^{2} \alpha_{i} [A_{i} x(t - \Delta) + \tilde{z}_{i}'] \qquad i = 0, 1, 2$$

 \Rightarrow each in-phase component adds constructively, but the sum of the out of phase terms is minimal

 $\Rightarrow u(t) \rightarrow \text{correlation detector} \rightarrow \text{message}$

Wireless Networks

- Wi-Fi (IEEE-802.11)
- WiMax (IEEE-802.16)

Wi-Fi system for home or business



WiMAX and Wi-Fi



Wi-Fi

- Local area wireless network: 100-500 feet
- OFDMA and CSMA
- CSMA \Rightarrow coverage limited in crowded areas
- "Hot spots"

WiMAX

- Similar to wireless cell phones
- Can use the same cell/tower infrastructure
- Coverage and distance can be similar to wireless phones (i.e. ≈ miles)
- OFDMA

Ultra wideband systems

A system where a binary message alters a wideband pulse in some way.

- 1. Antipodal (PAM)
- 2. OOK and amplitude (PAM) $a_k = \pm 1$
- 3. Position (PPM)
- 4. With suitable synchronization, can operate below the ambient RF levels

UWB transmitter/Receiver



 $x_c(t) + n(t) + interference$



(a) transmitter, (b) receiver

UWB signal example



UWB gaussian monocycle. (a) time, (b) spectra

UWB signal example

We can use a gaussian monocycle pulse shape or

$$p^{1}(t-t_{d}) = \frac{-2\pi(t-t_{d})}{\tau^{2}}e^{-\pi(t-t_{d})^{2}/\tau^{2}}$$

Then one of the following encoding schemes:

$$x_{c_{ppm}}(t) = A_{c} \sum_{k=0}^{N} p(t - kT - d_{k}\Delta)$$
$$x_{c_{OOK or PAM}}(t) = A_{c} \sum_{k=0}^{N} a_{k} p(t - kT)$$

Where a_k and d_k are the message digits

Types of UWB



Signal types for 10011 message. (a) antipodal, (b) amplitude OOK, (c) position, (d) amplitude

Transmitt Reference (TR) UWB system: Transmitter



TR Receiver



TR Waveforms



TR-UWB signal to correlation receiver. (a) input, (b) integrator output, (c) sample and hold output

UWB vs. DSSS

- Fundamentally different
 - DSSS has a carrier frequency
 - UWB has a pulse
- Fractional bandwidth

$$B_{T_{DSSS}} \approx \text{MHz vs } B_{T_{UWB}} \approx \text{GHz}$$

- Duty cycle
 - DSSS≈ 100%
 - UWB $\approx 0.5\%$